

MSU 4.1-643
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Aaron L. Odom and James T. Ciszewski
For : IMIDO-TETHERED CARBENES OF MOLYBDENUM FOR
RING-OPENING METATHESIS POLYMERIZATION AND
RING-CLOSING METATHESIS

HON. COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

Sir:

**INFORMATION STATEMENT UNDER
RULE 1.97 and 1.98**

Provided herewith are copies of patents and publications as listed in the attached form PTO-1449. A concise explanation of the relevance of each reference listed in form PTO-1449 is contained in the above-captioned specification as filed.

Applicant respectfully asserts that the substantive provisions of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.

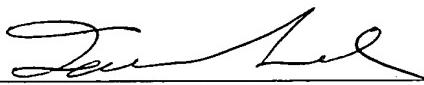
Respectfully,



Aaron L. Odom
Date: 10/22/03



James T. Ciszewski
Date: 22 October 2003



Ian C. McLeod, Attorney
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Date: 10/22/2003

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	
				Filing Date	
				First Named Inventor	Aaron L. Odom
				Art Unit	
				Examiner Name	
Sheet	1	of		Attorney Docket Number	MSU 4.1-643

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <i>(if known)</i>			
	AA	US- 4,727,215		02/23/1988	Schrock	
	AB	US- 5,142,073		08/25/1992	Schrock et al.	
	AC	US- 6,121,473		09/19/2000	Schrock et al.	
	AD	US- 6,316,555		11/13/2001	Schrock et al.	
	AE	US- 6,346,652		02/12/2002	Schrock et al.	
	AF	US- 6,197,715		03/06/2001	Bansleben et al.	
	AG	US- 6,313,332		11/06/2001	Bansleben et al.	
	AH	US- 6,410,664		06/25/2002	Bansleben et al.	
	AI	US- 6,414,097		07/02/2002	Grubbs et al.	
	AJ	US- 6,482,908		11/19/2002	Grubbs et al.	
	AK	US- 6,486,279		11/26/2002	Lynn et al.	
	AL	US- 6,504,041		01/07/2003	Grubbs et al.	
	AM	US- 6,506,704		01/14/2003	Bansleben et al.	
	AN	US- 6,515,084		02/04/2003	Grubbs et al.	
	AO	US- 2001/004177		11/15/2001	McConville et al.	
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS

Examiner Signature _____ **Date Considered** _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO			<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	
Sheet	2	of	Filing Date	
			First Named Inventor	Aaron L. Odom
			Group Art Unit	
			Examiner Name	
			Attorney Docket Number	MSU 4.1-643

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	A1	Tetland et al., Macromol. 29: 6114-6125 (1996)		
	B1	Alexander et al., J. Am. Chem. Soc. 120: 4041-4042 (1998)		
	C1	La et al., J. Am. Chem. Soc. 120: 9720-9721 (1998)		
	D1	Weatherhead et al., J. Am. Chem. Soc. 122: 1828-1829 (2000)		
	E1	Jamieson et al., Organometallics 19: 925-930 (2000)		
	F1	Cefalo et al., J. Am. Chem. Soc. 123: 3139-3140 (2001)		
	G1	Hultzsch et al., Organometallics 20: 4705-4712 (2001)		
	H1	Hultzsch et al., Agnew. Chem. Int. Ed. 41: 589-593 (2002)		
	I1	Schrock, R.R., et al., J. Am. Chem. Soc. 112: 3875 (1990)		

Examiner Signature	Date Considered
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